

JCS 98 U.S. PTO
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03-06-02
PTO/SB/05 (11-00)Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 020375-008900US

First Inventor. Mellinger, Philip T.

Title CREDIT CARD TRANSACTION TRACKING SYSTEMS AND METHODS

Express Mail Label No. EL 889364403 US

100-09100
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| | | | |
|---|--|---|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning design patent application contents.</small> | | ADDRESS TO <small>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</small> | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 20] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 3]</p> <p>5. Oath or Declaration [Total Pages]</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies | |
| ACCOMPANYING APPLICATIONS PARTS | | | |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b)Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other:</p> | | | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ /

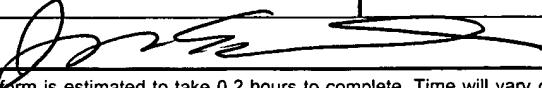
Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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|-------------------|---|-----------------------------------|--------------------|
| Name (Print/Type) | Irvin E. Branch | Registration No. (Attorney/Agent) | 42,358 |
| Signature |  | | Date March 4, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

DE 7064095 v1

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FEE TRANSMITTAL for FY 2001

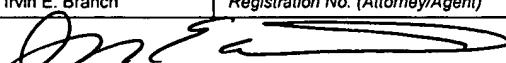
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,478

| Complete if Known | |
|----------------------|----------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Mellinger, Philip T. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 020375-008900US |

| METHOD OF PAYMENT | | | | | FEE CALCULATION (continued) | | | | | |
|---|--|------------------------------------|--|--|-----------------------------|-----------------|-----------------------|-----------------|--|----------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | | 3. ADDITIONAL FEES | | | | | |
| Deposit Account Number | | 20-1430 | | | Large Fee Code | Entity Fee (\$) | Small Entity Fee (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
| Deposit Account Name | | Townsend and Townsend and Crew LLP | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 2. <input type="checkbox"/> Payment Enclosed: | | | | | 139 | 130 | 139 | 130 | Non-English specification | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| | | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| | | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| | | | | | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| | | | | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| | | | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| | | | | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| | | | | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| | | | | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | | | | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | | | | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| | | | | | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| | | | | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | | | | 143 | 460 | 243 | 230 | Design issue fee | |
| | | | | | 144 | 620 | 244 | 310 | Plant issue fee | |
| | | | | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | | | | | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| | | | | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| | | | | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | | | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | | | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| | | | | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| | | | | | Other fee (specify) | | | | | |
| The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. | | | | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) (\$) | | | | | |

**or number previously paid, if greater; For Reissues, see above

| SUBMITTED BY | | | | | | Complete (if applicable) | |
|-------------------|---|-----------------------------------|--------|-----------|--------------|--------------------------|--|
| Name (Print/Type) | Irvin E. Branch | Registration No. (Attorney/Agent) | 42,358 | Telephone | 303-571-4000 | | |
| Signature |  | | | | Date | March 4, 2002 | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Philip T. Mellenger et al.

Filing Date: March 4, 2002

For: **CREDIT CARD TRANSACTION TRACKING SYSTEMS AND METHODS**

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE OF EXPRESS MAILING

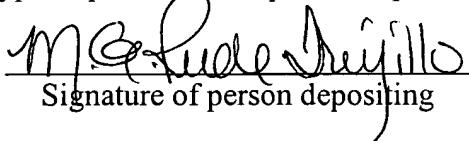
“Express Mail” Mailing Label No. EL 889364403 US
Date of Deposit: March 4, 2002

I hereby certify that the following attached items:

1. Utility Patent Application Transmittal
2. Fee Transmittal
3. Application Data Sheet
4. Utility Patent Application
5. Figures 1-3

are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231

Michelle G. Rude-Trujillo
Type or print name of person depositing


Signature of person depositing